

APPLY ONLINE @ www.myintegrity.com.au



Financial Adviser Exam Preparation Programs

Key facts about the Exam

- @ **least 70 questions** – 64 multiple choice (MCQ) & 6 short answer q's
- **Duration** – 3.5 hours including 15 minutes reading time
- **Exam result** – marked to a credit level & award is a "pass" or "fail"
- **Exam Schedule** – quarterly from June 2019 & bi-monthly in 2020
- **Existing Advisers** must pass Exam on or before 31st December 2020
- **New Advisers** must pass Exam prior to quarter 3 of Professional Year (PY)

What happens if I fail the Exam?

Under the FASEA Standards as detailed in FPS006 Examination Policy, all individuals eligible to register for the exam will be "able to sit the Exam multiple times."

In the event an individual needs to resit the Exam, they need to wait 3 months before registering for the Exam resit.

FASEA has not put any limit on the number of times an eligible individual is able to sit the Exam.

myIntegrity in Practice (myIP)
www.myintegrity.com.au

0408 056 679
info@myintegrity.com.au

All Financial Advisers and Financial Planners must pass the *FASEA Exam for Financial Advisers* one time only.

What are the study options?

Integrity's FASEA Exam Preparation Course is based on the *FASEA Exam Curriculum and Recommended Reading List*. Participants receive detailed notes and study workbook, undertake a practice Exam, and have access to an extensive range of recommended reading and resources as part of the Online & Masterclass Programs. You can choose a Program that best suits your needs:

EXAM ONLY PROGRAM (Practice Exam)

We give you the tools and the rest is up to you! Receive online access to the FASEA recommended readings and a **'practice' Exam** without any supporting videos or technical materials.

Ideal if you're happy to go it alone.

Individual rate – \$195 (ex. GST)

ONLINE STUDY PROGRAM

Study whenever it suits your schedule, mindset, or lifestyle.

- If you would prefer to prepare for the exam in your own time in the comfort of your own home or office, then our Online Program is for you.
- You will be given access to **LEARNER GUIDES, videos, recommended reading list, 'hot reading' list and practice exam questions** covering the Exam 'knowledge areas' as identified by FASEA.
- This option allows you to study at a time and place that suits your busy schedule
- **INCLUDES online 'practice exam'.**

Individual rate – \$495 (ex. GST)

MASTERCLASS / WEBINAR PROGRAM

Structured program **INCLUDES post-workshop 'practice exam':**

- **WEBINAR Series** - TWO sessions @ 3 HOURS each on consecutive days on ZOOM (recordings available)
- **MASTERCLASS - 1 Day** indepth review of recommended readings
- Exam preparation techniques & Practice exam under exam conditions
- Multiple choice & Case Study questions worked through

Exclusive rate – \$695 (ex. GST) **COVID-19 Special Price (\$200 discount)**

Coupon Code: **2020ny** | **APPLY HERE**

Masterclass / Webinar Schedules located HERE

Success comes from preparation

A practical hands on program designed to get you Exam ready!



Exam preparation - tips, tools & strategies

It is important to start your preparation early so that you feel confident going in to the day. We explore tools and strategies to use which include a study plan, formulating a mental guide on how best to complete the Exam, dealing with anxiety, and dealing with specific types of exam questions.

The Exam Prep Course uses a strategic 'waterfall' philosophy based on the FASEA Exam structure itself. The framework is simple and easy to follow:

- 64 Multiple Choices questions (MCQ) & at least 6 Case Study Style short answer questions (SAQ)
- 3 key knowledge areas (with identified assessable learning outcomes based on known curriculum)
- Suggested reading list supported by 'extension' reading as suggested by FASEA
- Unique strategies to deal with and approach completion of MCQs, with practice questions under exam conditions
- Strategy to focus on key aspects of the knowledge areas through completion of practice Case Study style SAQs

What will the FASEA Exam Preparation Course cover?

Integrity's FASEA Exam Preparation Course is based on the FASEA Exam Curriculum and Recommended Reading List detailed in FASEA's FG003 Exam Preparation Guide. The three exam knowledge areas are:

Financial Advice Regulatory and Legal Obligations

- Obligations under Chapter 7 of the Corporations Act 2001
- Importance and essential requirements of a Statement of Advice (SOA)
- Financial disclosure obligations, notification obligations of breaches, and consequences under Chapter 7 of the Corporations Act
- Legal requirements for individual and licensee
- Legal requirements for AML/CTF & Privacy legislation when providing advice
- Compliance with the Tax Agents Services Act 2009 requirements as a registered Tax Adviser

Applied ethical and professional reasoning and communication

- Requirements and importance of the FASEA Code of Ethics for financial planners/advisers in the context of professional standards
- Ethical frameworks to address issues and dilemmas a financial planner/adviser encounters
- Importance of acting with Integrity & in the best interests of each of clients
- Due diligence and Client files and records - maintaining compliance

Financial Advice Construction

- The financial advice process - key documentation, compliance obligations, preventing misconduct and inappropriate advice
- Different types of consumer behaviour and decision making - population and community profiles at a retail client level
- Evaluate and apply advice strategies for different retail clients

Will 'qualifying' CPD Hours be awarded?

Yes - a total of **8 CPD Hours** will be awarded across a range of the CPD Activity areas. The allocation of hours will be detailed in the "Certificate of Completion" awarded upon completion of the FASEA Exam Preparation course.

The Exam Prep Course meets the definition of 'qualifying CPD Activities' as the course contains sufficient intellectual and/or practical content and the activity is conducted or led by appropriate persons who have sufficient standing, expertise, academic qualifications and/or practical experience.